

A 2 0 0 1 1 7 5 9 5

S.E.C. Registration Number

EMPERADOR
INC.

(Company's Full Name)

7 / F 1 8 8 0 E A S T W O O D A V E N U E
E A S T W O O D C I T Y C Y B E R P A R K
1 8 8 E . R O D R I G U E Z J R . A V E .
B A G U M B A Y A N Q U E Z O N C I T Y

(Business Address: No. Street City/ Town/ Province)

DINA D.R. INTING

Contact Person

8709-2038 to 41

Company Telephone Number

1 2 3 1

Month Day

Fiscal Year

SEC FORM 17-C

FORM TYPE

Third Monday
of May

Month Day

Annual Meeting

Registration of
Securities

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

[Grid for File Number]

File Number

LCU

[Grid for Document I.D.]

Document I.D.

Cashier

STAMPS

Remarks = pls. use black ink for scanning

**SECURITIES AND EXCHANGE COMMISSION
SEC FORM 17-C
CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER**

1. **14 July 2022**
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A200117595** 3. BIR Tax Identification No. **214-815-715-000**
4. **EMPERADOR INC.**
Exact name of issuer as specified in its charter
5. **Philippines**
Province, country or other jurisdiction of incorporation
6. (SEC Use Only)
Industry Classification Code:
7. **7th Floor, 1880 Eastwood Avenue, Eastwood City CyberPark
188 E. Rodriguez Jr. Avenue, Bagumbayan, Quezon City**
Address of principal office **1110**
Postal Code
8. **(632)-8709-2038 to 41**
Issuer's telephone number, including area code
9. **N/A**
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common Shares	15,736,471,238
Treasury Shares	505,919,938

11. Indicate the item numbers reported herein: **ITEM 9 (b)**

Emperador Inc. (“Emperador” or the “Company”) successfully listed today, 14 July 2022, on the Main Board of the Singapore Exchange Securities Trading Limited (the “SGX-ST” under the stock code “EMI”). This secondary listing marks the latest step in its ambitious plans for future international growth.

Emperador Inc. is the first PSE-primary listed company to conduct a secondary listing on the SGX-ST. The Company will continue to maintain its primary listing on the Philippine Stock Exchange (“PSE”), also under the stock code “EMI”.

This announcement is for information purposes only and is not to be construed as investment or financial advice, or advice to purchase any securities of the Company.

This document is not an offer of securities for sale in the United States, the Philippines, Singapore or elsewhere. The securities of the Company are not being registered under the United States Securities Act of 1933, as amended (the “Securities Act”) and may not be offered or sold in the United States unless registered under the Securities Act or pursuant to an exemption from such registration.

There will be no public offering of the securities of the Company in the United States, the Philippines, Singapore or elsewhere. No money, securities or other consideration is being

solicited by this communication or the information contained herein and, if sent in response to this communication or the information contained herein, will not be accepted.

About Emperador Inc.

Emperador Inc. (EMI) is a global whisky and brandy conglomerate headquartered in the Philippines with a deep portfolio of globally recognized, market-leading whisky and brandy brands distributed in over 100+ countries.

During the period from its founding in 1979 until 2013, Emperador became not only the biggest spirits company in the Philippines but more importantly the largest brandy company in the world. From 2014 to present, Emperador was firm in its resolve to start building a global portfolio with a clear brand focused strategy. This is the transformative years where Emperador made international acquisitions such as the 5th largest Scotch whisky producer by capacity in the world, Whyte & Mackay, and the biggest and oldest brandy maker in Spain, Bodegas Fundador. It is also during this period where its vast portfolio was emerging as a fast-growing global disruptor in spirits in more than 100 countries.

Whyte and Mackay has over 175 years of heritage and five distilleries in the UK, it is home to an iconic range of luxury and premium single malt brands including The Dalmore, Jura, Fettercairn, and Tamnavulin. Driven by a strategic focus on reaching international markets, they have achieved market-leading positions and are among the fastest-growing single malt brands in the world.

Bodegas Fundador is Spain's biggest and oldest brandy maker. It has in its portfolio brands which are considered the platinum standard in brandy de Jerez such Fundador, Spain's biggest brandy, Terry Centenario, and the world's largest selling sherry wine, Harveys Bristol Cream. The Fundador Supremo line was recently voted as the Best Brandy in The World and continues to reap awards in international spirits competition.

Emperador Inc. is a publicly-listed company at the Philippine Stock Exchange and owns subsidiaries operating an integrated business of manufacturing, bottling, and distributing distilled spirits and other alcoholic beverages from the Philippines, Scotland, Spain, and Mexico. It is a subsidiary of Alliance Global Group, Inc., a publicly listed conglomerate in the Philippines with diversified investments in real estate development, food and beverage, quick-service restaurants, and tourism-entertainment and gaming business.

Collection and payment of stock transaction tax ("STT") on the sale of shares of Emperador Inc. ("EMI") traded on the SGX-ST to the Philippines Bureau of Internal Revenue ("BIR")

The trading of EMI's shares on the SGX-ST is subject to a STT of 0.6% of the gross selling price or gross value in money of the shares sold. The term gross selling price or gross value in money refers to the total amount of money or its equivalent which the purchaser pays the seller as consideration for the shares. STT is a final tax due on and payable by the seller of the shares, and is required to be collected by and paid to the Philippine tax authorities by the selling stockbroker on behalf of the seller. Failure by shareholders or Singapore brokers to pay or to remit STT payable to the BIR may result in a breach of law and/or contract.

The STT payable by a selling shareholder of EMI's shares traded on the SGX-ST will be collected and withheld by his Singapore broker on his behalf at the date of settlement of the trade. Singapore brokers may remit the collected STT to the BIR via BDO Securities Corporation ("**BDO**"), which has been appointed by EMI as the receiving and remitting agent (the "**Receiving Agent**"), or may choose to remit the collected STT to the BIR via other avenues, such as through their Philippines affiliated brokers.

As of the date of this announcement, the following Singapore brokers have been onboarded with BDO as the Receiving Agent:

- CGS-CIMB Securities (Singapore) Pte. Ltd.;
- CLSA Singapore Pte Ltd;

- Daiwa Capital Markets Singapore Limited¹;
- DBS Vickers Securities (Singapore) Pte Ltd;
- Lim & Tan Securities Pte Ltd;
- Macquarie Capital Securities (Singapore) Pte. Limited;
- Maybank Securities Pte. Ltd;
- Philip Securities Pte Ltd;
- Tiger Brokers (Singapore) Pte Ltd;
- UBS Securities Pte Ltd; and
- UOB Kay Hian Private Limited.

In the event that a Singapore broker has arranged to remit the collected STT to the BIR via the Receiving Agent and such arrangement is terminated by either the Singapore broker or the Receiving Agent, or in the event that the Receiving Agent no longer acts as the receiving and remitting agent for any reason, the Singapore broker will need to provide alternative modes of STT payment to comply with the applicable regulations (including ensuring that they have in place the necessary procedures and controls in the collection, withholding, remittance and reporting of the STT for the sale of EMI's shares on the SGX-ST in order to continue offering services to facilitate the trading of EMI's shares on the SGX-ST). Until such Singapore broker is able to provide an alternative payment method, selling shareholders trading through such Singapore broker may be unable to trade EMI's shares on the SGX-ST.

Please refer to <https://www.emperadorbrandy.com/payment-of-stock-transaction-tax.html> as well as EMI's introductory document dated 20 June 2022 (the "Introductory Document") for further details regarding the collection and payment of STT on the sale of shares of EMI traded on the SGX-ST to the BIR. The information in the Introductory Document is provided strictly for information only and should be read as of its date. The information is not and does not constitute or form part of, and is not made in connection with, any offer, invitation or recommendation to sell or issue, or any solicitation of any offer to purchase or subscribe for, any securities of any entity.

Prospective purchasers and holders of EMI's shares on the SGX-ST are advised to consult their own advisers concerning the tax, legal and other consequences of their purchasing, holding, disposing of or dealing in EMI's shares and to consult with their respective Singapore brokers in respect of the payment of STT and additional fees and charges (if any) that may apply in respect of the payment of STT to the BIR.

IR Contact

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¹ Daiwa Capital Markets Singapore Limited is in the process of providing the attestation on collection, withholding, remittance and reporting of STT for EMI to SGX-ST, which is required before it can offer trading in the shares of EMI on the SGX-ST.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

By: **EMPERADOR INC.**

14 July 2022



DINA D.R. INTING

Chief Financial Officer, Compliance Officer and
Corporate Information Officer